

4 Additional beneficial owners

3

Full name or legal entity name _____

Nationality or place of incorporation _____ Shareholding _____ %

ID number

☐ HKID _____ ☐ Passport no _____ ☐ Company registered no _____

Residential/registered address

Source of wealth (select all that apply)

Natural persons

- ☐ Employment
☐ Dividend Income
☐ Inheritance/Gift
☐ Sale of Investment
☐ Other (please specify) _____

Legal persons

- ☐ Business income
☐ Sale of investments
☐ Injection of capital
☐ Bank loan
☐ Other (please specify) _____

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
☐ Dividend Income
☐ Inheritance/Gift
☐ Sale of Investment
☐ Other (please specify) _____

Legal persons

- ☐ Business income
☐ Sale of investments
☐ Injection of capital
☐ Bank loan
☐ Other (please specify) _____

3 Is the beneficial owner a licensed representative/registered intermediary of a licensed corporation/registered institution or in a position subject to investment restrictions by his/her employer? ☐ Yes ☐ No

Only applicable if answered "Yes" above

Please state job title and company name here.

Job title _____

Company name _____

You will have to provide consent letter in Section 10 (14).

4 Full name or legal entity name _____

Nationality or place of incorporation _____ Shareholding _____ %

ID number

☐ HKID _____

☐ Passport no _____

☐ Company
registered no _____

Residential/registered address

Source of wealth (select all that apply)

Natural persons

☐ Employment

☐ Dividend Income

☐ Inheritance/Gift

☐ Sale of Investment

☐ Other (please specify) _____

Legal persons

☐ Business income

☐ Sale of investments

☐ Injection of capital

☐ Bank loan

☐ Other (please specify) _____

4

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Is the beneficial owner a licensed representative/registered intermediary of a licensed corporation/registered institution or in a position subject to investment restrictions by his/her employer?

☐ Yes ☐ No

Only applicable if answered "Yes" above

Please state job title and company name here.

Job title _____ Company name _____

You will have to provide consent letter in Section 10 (14).

5

Full name or legal entity name _____

Nationality or place of incorporation _____ Shareholding _____ %

ID number

☐ HKID _____ ☐ Passport no _____ ☐ Company registered no _____

Residential/registered address

5

Source of wealth (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Is the beneficial owner a licensed representative/registered intermediary of a licensed corporation/registered institution or in a position subject to investment restrictions by his/her employer?

☐ Yes ☐ No

Only applicable if answered "Yes" above

Please state job title and company name here.

Job title _____

Company name _____

You will have to provide consent letter in Section 10 (14).

Additional ultimate beneficiaries

2

Full name or legal name _____

Nationality or place of incorporation _____ Shareholding _____ %

Residential/registered address _____

ID number

☐ HKID _____ ☐ Passport no _____ ☐ Company registered no _____

Source of wealth (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

3

Full name or legal name _____

Nationality or place of incorporation _____ Shareholding _____ %

Residential/registered address _____

ID number

☐ HKID _____ ☐ Passport no _____ ☐ Company registered no _____

Source of wealth (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

4

Full name or legal name _____

Nationality or place of incorporation _____ Shareholding _____ %

Residential/registered address _____

ID number

☐ HKID _____ ☐ Passport no _____ ☐ Company registered no _____

Source of wealth (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

5

Full name or legal name _____

Nationality or place of incorporation _____ Shareholding _____ %

Residential/registered address _____

ID number

☐ HKID _____ ☐ Passport no _____ ☐ Company registered no _____

Source of wealth (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____

Are you planning to use different sources of funds for your investments?

☐ Yes ☐ No

If different, please provide information on your source of funds for your investments (select all that apply)

Natural persons

- ☐ Employment
- ☐ Dividend Income
- ☐ Inheritance/Gift
- ☐ Sale of Investment
- ☐ Other (please specify) _____

Legal persons

- ☐ Business income
- ☐ Sale of investments
- ☐ Injection of capital
- ☐ Bank loan
- ☐ Other (please specify) _____